

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor(s): Ping Mei et al

Confirmation No.: 9182

Application No.: 09/934548

Examiner:

Filing Date: Aug 23, 2001

Group Art Unit: 2814

Title: Thin Film Transistor Memory Device

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- () Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- (X) No item of information contained in the Information Disclosure Statement
 - was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Ping Mei et al

By

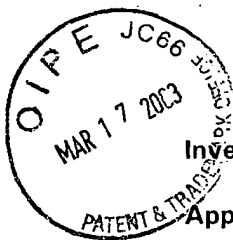

Trueman H Denny III

Attorney/Agent for Applicant(s)

Reg. No. 44,652

Date: 03/10/03

Telephone No.: (650) 857-3870



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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
() Statement under 37 CFR 1.97(e), or
(X) a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e)(1) or (2), and
() a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications or other foreign language information listed on PTO Form 1449, as presently understood by the applicant(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the enclosed sheet, or where a foreign language patent is cited in a search report or other action by a patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is stated that the information disclosed herein be made of record in this application.

I certify that this correspondence is being sent by the United States Postal Service by first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. Date of Deposit: 03/10/03

OR

that this paper is being filed with the Patent and Trademark Office on _____

P. Escobar

Respectfully submitted,

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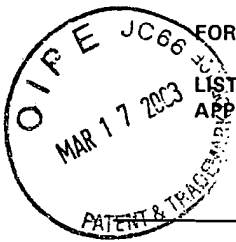
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20/2003 000111 110510

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10015160-1

APPLICATION NO.

09/934,548

CONFIRMATION NO.

APPLICANT

Ping Mei, et al

FILING DATE

aug 23, 2001

GROUP

2814

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	US6233194	May 15, 2001	Batra Shubneesh, et	
	1B	us4387503	june 14, 1983	Aswell cecil	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EPO727822	8/21/96	Canon kk		
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	

DATE CONSIDERED